



T. Bailey Growth Fund LITE Unit Trust Application Form

T.BAILEY

Before completing your application form, you must read the Simplified Prospectus which contains important information about your investment. Additional copies can be obtained from T. Bailey Fund Managers Limited, 64 St. James's Street, Nottingham NG1 6FJ, at www.tbailey.co.uk or by calling the T. Bailey helpline on 0115 988 8213.

Please complete the application form in blue or black ink and in BLOCK CAPITAL letters. Failure to correctly complete relevant sections of the application form may delay or invalidate your application.

The completed application form should be returned, along with the correct payment, to Dealing and Administration, T. Bailey Fund Managers Limited, 64 St. James's Street, Nottingham NG1 6FJ. T. Bailey Fund Managers Limited is authorised and regulated by the Financial Services Authority.

1: Agent Details

To be completed by your agent if appropriate.

Address

 Postcode

Consultant's Name

FSA Reference No. Intermediary Number (T. Bailey Reference)

Telephone Number

Email Address

Advice Given? (tick one)
 Y N

Dealing Terms - 149 bps TER unit class only (see section 3)*

Agent Initial Commission % Agent Trail Commission 0.50 %

* In the absence of any special instructions standard terms will be applied (see Simplified Prospectus). No commission is payable on the 99 bps TER unit class. If none of the advice given boxes are ticked, it will be assumed that advice has been given.

2: Personal Details

Please complete the personal details for all applicants in full. Note that we can accept applications from up to 4 joint holders for a unit trust investment. Details of the first 2 applicants should be completed below. If more than two names are to be used, tick here and provide the personal details of applicants 3 and 4 on a separate sheet of paper. Purchases for children under 18 years of age should be registered in the name of an adult and may be designated if desired. Contract notes are sent to all unitholders but six monthly statements and short reports are only sent to first named unitholders.

2A: Personal Details

Please tick if an existing unitholder Account Number

Title First Name(s)

Surname

Permanent Residential Address

 Postcode

Email Address

Daytime Telephone Number

Date of Birth (DD-MM-YYYY) Password of your choice (for security reasons) (6-10 characters)

If you wish to designate the account for example if you are investing on behalf of a minor, please insert the designation here.

For Trustee Investments please insert the name of the Trust/Pension Fund/Charity here.

EU SAVINGS DIRECTIVE REQUIREMENT (Please only complete this section if you are a European citizen and not resident in the UK)

Place of birth

Country of residence

Do you have a tax identification number? Yes No

Tax Identification number

If you do not have a tax identification number please enclose your original passport or official identity card which will be returned to you.

Money Laundering

Applications will be subject to the United Kingdom's verification of identity requirements which are contained in the Money Laundering Regulations 2007, the Serious Organised Crime and Police Act 2005, the Proceeds of Crime Act 2002 and the Terrorism Act 2000, the Bank of England Sanction Notices and the FSA Handbook. To comply with these regulations, we may need to request additional evidence of identity from you. We may use a credit reference agency who will record that an enquiry has been made. We reserve the right to refrain from investing your money and to withhold any repayment of capital until adequate evidence of identity under these regulations has been provided.

2B: Personal Details

Please tick if an existing unitholder Account Number

Title First Name(s)

Surname

Permanent Residential Address

 Postcode

Email Address

Daytime Telephone Number

Date of Birth (DD-MM-YYYY) Password of your choice (for security reasons) (6-10 characters)

Data Protection Policy
 Any information which you provide may be held by T. Bailey Fund Managers Limited and used for administration purposes and to inform you about other products and services offered by T. Bailey Fund Managers Limited and its group companies. You understand that by providing us with this information, you consent to us processing it for these purposes. If you do not wish to receive information from us or our group companies about other products and services, please tick here:

If you require any assistance with your application please:

call us on 0115 988 8213
email us at clientservices@tbailey.co.uk

Please post your completed form to:
Dealing and Administration
T. Bailey Fund Managers Limited
64 St. James's Street
Nottingham NG1 6FJ

CHEQUES SHOULD BE MADE PAYABLE TO T. BAILEY FUND MANAGERS LIMITED

