



# T. Bailey Growth Fund LITE ISA Investments and ISA Transfers Application Form

**FOR 2010/2011**

T.BAILEY

Before completing your application form, you must read the Simplified Prospectus which contains important information about your investment. Additional copies can be obtained from T. Bailey Fund Managers Limited, 64 St. James's Street, Nottingham NG1 6FJ, at www.tbailey.co.uk or by calling the T. Bailey helpline on 0115 988 8213.

Please complete the application form in blue or black ink and in BLOCK CAPITAL letters.

Failure to correctly complete relevant sections of the application form may delay or invalidate your application.

The completed application form should be returned, along with the correct payment, to Dealing and Administration, T. Bailey Fund Managers Limited, 64 St. James's Street, Nottingham NG1 6FJ. T. Bailey Fund Managers Limited is authorised and regulated by the Financial Services Authority.

## 1: Agent Details

To be completed by your agent if appropriate.

Address   
  
 Postcode

Telephone Number

Consultant's Name

Email Address  Advice Given? (tick one)  
 Y  N

FSA Reference No.  Intermediary Number (T. Bailey Reference)

Dealing Terms - 149 bps TER unit class only (see section 3)\*

Agent Initial Commission  % Agent Trail Commission  0.5 %

\* In the absence of any special instructions standard terms will be applied (see Simplified Prospectus). No commission is payable on the 99 bps TER unit class. If none of the advice given boxes are ticked, it will be assumed that advice has been given.

## 2: Personal Details

Please complete the personal details in full. Note that Stocks and Shares ISA investments are only available to individuals over 18 years of age.

Please tick if an existing unitholder  Account Number

Title  First Name(s)

Email Address

Surname

Daytime Telephone Number

Permanent Residential Address   
 Postcode

National Insurance Number  -  -  -  -  -

(NI No. can be found on P60, tax return, notice of coding or pension book)

Date of Birth (DD-MM-YYYY)  -  -  Please tick if you do not have a National Insurance Number

Password of your choice (for security reasons) (6-10 characters)

**Data Protection Policy**  
 Any information which you provide may be held by T. Bailey Fund Managers Limited and used for administration purposes and to inform you about other products and services offered by T. Bailey Fund Managers Limited and its group companies. You understand that by providing us with this information, you consent to us processing it for these purposes. If you do not wish to receive information from us or our group companies about other products and services, please tick here:

### Money Laundering

Applications will be subject to the United Kingdom's verification of identity requirements which are contained in the Money Laundering Regulations 2007, the Serious Organised Crime and Police Act 2005, the Proceeds of Crime Act 2002, the Terrorism Act 2000, the Bank of England Sanction Notices and the FSA Handbook. To comply with these regulations, we may need to request additional evidence of identity from you. We may use a credit reference agency who will record that an enquiry has been made. We reserve the right to refrain from investing your money and to withhold any repayment of capital until adequate evidence of identity under these regulations has been provided.

## 3: Investment Details – Stocks and Shares ISA Investments

Cheques should be made payable to T. Bailey Fund Managers Limited

Please ensure that for lump sum investments you include a correctly completed cheque with your application form as failure to do so may delay or invalidate your investment.

Please also note that cheques:

- should either be drawn on a personal bank account held in the name of the applicant, or from a building society with the applicant's name clearly displayed on the face of the cheque;
- should have your full name and address clearly written on the reverse of the cheque; and,
- should not be post-dated.

Fund choice	Lump sum min £1,000 max £10,200	Monthly min £50 (fill in DD form attached)
T. BAILEY GROWTH FUND LITE (99 bps TER)	£	£
T. BAILEY GROWTH FUND LITE (149 bps TER)	£	£

Please note that for applications received prior to 6 April 2010, cheques will be paid into the client money account and will deal into the T. Bailey Fund(s) in the new tax year (between 6 and 15 April 2010). No interest is paid on the client money account.

Monthly savings investors must also complete a Direct Debit Mandate Form and enclose a cheque for the first payment. Direct Debits are taken on and around the 15th of the month.

If you are not investing through an agent, or the agent used in section 1 is not intending to take initial commission/trail commission, investment should be made into the 99 bps TER unit class.

Alternatively if Agent in section 1 is taking initial commission/trail commission, investment must be made into the 149 bps TER unit class.

**Your total investment must not exceed £10,200 for a stocks and shares ISA  
 (this limit is reduced £1 for £1 down to £5,100 for any investment into a cash ISA in the same tax year,  
 e.g. If you invested £5,000 into a cash ISA your investment in a stocks & shares ISA could not exceed £5,200).**

## 4: Investment Details – ISA Transfers (Please also complete ISA Transfer Instruction Forms opposite)

	Percentage ISA Transfer <small>Enter % of ISA proceeds to be allocated to each class</small>
T. BAILEY GROWTH FUND LITE (99 bps TER)	%
T. BAILEY GROWTH FUND LITE (149 bps TER)	%
<b>FUND CHOICE TOTAL</b>	<b>100 %</b>

**If you are not investing through an agent, or the agent used in section 1 is not intending to take initial commission/trail commission, investment should be made into the 99 bps TER unit class.**

**Alternatively if Agent in section 1 is taking initial commission/trail commission, investment must be made into the 149 bps TER unit class.**

## 5: Declaration

Please read this section carefully before signing and dating the Declaration, and ensure that the total amount you wish to subscribe is within the ISA regulations as detailed below and in our ISA Terms and Conditions. This is our standard declaration upon which we intend to rely. For your own benefit and protection you should read these terms along with the T. Bailey Funds Simplified Prospectus and ISA Terms and Conditions carefully before signing. If you do not understand any point please ask your adviser or T. Bailey for further information. Failure to sign will mean your application form cannot be processed.

I declare that:

- I am 18 years of age or over;
- All subscriptions made, and to be made, belong to me;
- I apply to subscribe for a stocks and shares ISA for the 2010/2011 tax year and each subsequent year until further notice for the amount as detailed in Section 3, or if the amount shown in Section 3 is more than the limit allowed under HMRC regulations, I subscribe for the maximum allowed under such regulations with any remainder part of my application being treated as an application form to invest in a unit trust outside of an ISA tax wrapper.
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas) are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with a person who performs such duties. I will inform T. Bailey Fund Managers Limited if I cease to be so resident and ordinarily resident or to perform such duties or be married to or in a civil partnership with a person who performs such duties.
- I have not subscribed and will not subscribe more than £10,200 in total to a cash ISA and stocks and shares ISA in the same tax year.
- I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.

I hereby authorise T. Bailey Fund Managers Limited:

- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and other cash, and,
- To make on my behalf any claims to relief from tax in respect of ISA investments.
- On my written request transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments or any cash.
- I declare that the information contained in this application form, the appendices and any additional pages completed by me is correct to the best of my knowledge and belief and I undertake to notify T. Bailey Fund Managers Limited without delay of any changes to these particulars.
- I confirm that I have read and understood in full the information contained in this Application Form and the Simplified Prospectus and ISA Terms and Conditions (and have kept a copy of these for my records), and that these documents constitute the agreement between me and T. Bailey Fund Managers Limited and I agree to be bound by the terms and conditions contained therein.
- I request T. Bailey Fund Managers Limited to act in accordance with my instructions. I understand that this application is subject to acceptance by T. Bailey Fund Managers Limited and that T. Bailey Fund Managers Limited accepts no responsibility for any loss incurred as a result of any delay in the submission of the application form.
- I understand that the T. Bailey ISA is a stocks and shares ISA and the subscription limit applies to the aggregate investment in the cash and stocks and shares component.

Signature

X

Date (DD-MM-YY)

□ □ - □ □ - □ □

If you require any assistance with your application please:  
call us on 0115 988 8213  
email us at [clientservices@tbailey.co.uk](mailto:clientservices@tbailey.co.uk)

CHEQUES SHOULD BE MADE PAYABLE TO T. BAILEY FUND MANAGERS LIMITED



T.BAILEY

# ISA Transfer Instruction Form

Please note that if you want to transfer ISAs from more than one manager, you must complete a separate instruction for each one. Additional ISA Transfer Instruction Forms can be downloaded at [www.tbailey.co.uk](http://www.tbailey.co.uk)

## Information for current ISA Managers

Cheques should be made payable to T. Bailey Fund Managers Limited

Please send a cheque along with a full history of the ISA being transferred plus the date of the transfer to Dealing and Administration, T Bailey Fund Managers Limited, 64 St. James's Street, Nottingham NG1 6FJ. Please note that T. Bailey Fund Managers Limited's HMRC reference is T129 (ISA). Any income or tax credits accruing in excess of £25 after you have sent these proceeds should also be sent to the same address.

I hereby instruct my current ISA manager named in this part, to liquidate these assets within my ISA as detailed here, with immediate effect, and to transfer the proceeds to T. Bailey Fund Managers Limited, 64 St. James's Street, Nottingham NG1 6FJ

To (Name of current ISA Manager)

Address

Postcode

Name of Investor, including title

Permanent Residential Address

Postcode

Account Number/Reference

Signature

Date of Birth (DD-MM-YY)

## ISA Investments to be transferred in full

I wish to transfer my:

### Current tax year ISA

Stocks and shares ISA

Cash ISA

Approx Value

### Previous years

Stocks and shares ISA

Cash ISA

## ISA Investments to be transferred in part (Previous tax years only)

Please check with your existing ISA Manager that they can accommodate partial transfers.

Please transfer specific tax years as detailed below

Enter tax year e.g. 2009/2010 and tick relevant box(es).

Approx Value

/	Stocks and shares ISA	Cash ISA	Approx Value
/	Stocks and shares ISA	Cash ISA	Approx Value
/	Stocks and shares ISA	Cash ISA	Approx Value

Total Approx Value



T.BAILEY

# ISA Transfer Instruction Form

Please note that if you want to transfer ISAs from more than one manager, you must complete a separate instruction for each one. Additional ISA Transfer Instruction Forms can be downloaded at [www.tbailey.co.uk](http://www.tbailey.co.uk)

## Information for current ISA Managers

Cheques should be made payable to T. Bailey Fund Managers Limited

Please send a cheque along with a full history of the ISA being transferred plus the date of the transfer to Dealing and Administration, T Bailey Fund Managers Limited, 64 St. James's Street, Nottingham NG1 6FJ. Please note that T. Bailey Fund Managers Limited's HMRC reference is T129 (ISA). Any income or tax credits accruing in excess of £25 after you have sent these proceeds should also be sent to the same address.

I hereby instruct my current ISA manager named in this part, to liquidate these assets within my ISA as detailed here, with immediate effect, and to transfer the proceeds to T. Bailey Fund Managers Limited, 64 St. James's Street, Nottingham NG1 6FJ

To (Name of current ISA Manager)

Address

Postcode

Name of Investor, including title

Permanent Residential Address

Postcode

Account Number/Reference

Signature

Date of Birth (DD-MM-YY)

## ISA Investments to be transferred in full

I wish to transfer my:

### Current tax year ISA

Stocks and shares ISA

Cash ISA

Approx Value

### Previous years

Stocks and shares ISA

Cash ISA

## ISA Investments to be transferred in part (Previous tax years only)

Please check with your existing ISA Manager that they can accommodate partial transfers.

Please transfer specific tax years as detailed below

Enter tax year e.g. 2009/2010 and tick relevant box(es).

Approx Value

/	Stocks and shares ISA	Cash ISA	Approx Value
/	Stocks and shares ISA	Cash ISA	Approx Value
/	Stocks and shares ISA	Cash ISA	Approx Value

Total Approx Value

# Instruction to your Bank/Building Society to pay by Direct Debit

To be completed by monthly investors ONLY. Please complete and send to T. Bailey with your application.



To the Manager. Name of bank or building society

Address

Postcode

Name(s) of Account Holder(s)

Account No.

Sort Code

Reference No. (office use only)

Originator's Identification No.

6 8 3 0 8 7

Instruction to your Bank/Building Society and signature(s)

Please pay T. Bailey Fund Managers Limited, Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee, attached to the Simplified Prospectus. I understand that this instruction may remain with T. Bailey Fund Managers Limited, and if so, details will be passed electronically to my Bank/Building Society.

Signature(s)

Date (DD-MM-YY)

If you require any assistance with your application please:  
call us on 0115 988 8213  
email us at [clientservices@tbailey.co.uk](mailto:clientservices@tbailey.co.uk)

Post your completed application form to:  
Dealing and Administration  
T. Bailey Fund Managers Limited  
64 St. James's Street  
Nottingham NG1 6FJ

CHEQUES SHOULD BE MADE PAYABLE TO T. BAILEY FUND MANAGERS LIMITED



T.BAILEY

**T. BAILEY FUND MANAGERS LIMITED**

64 ST. JAMES'S STREET, NOTTINGHAM, NG1 6FJ, UNITED KINGDOM. T: 0115 988 8200. F: 0115 988 8222. W: [WWW.TBAILEY.CO.UK](http://WWW.TBAILEY.CO.UK)