



ISA Investments and ISA Transfers Application Form

FOR 2011/2012

T.BAILEY

Before completing your application form, you must read the Simplified Prospectus which contains important information about your investment. Additional copies can be obtained from T. Bailey Fund Managers Limited, 64 St. James's Street, Nottingham NG1 6FJ, at www.tbailey.co.uk or by calling the T. Bailey helpline on 0115 988 8213.

Please complete the application form in blue or black ink and in BLOCK CAPITAL letters.

Failure to correctly complete relevant sections of the application form may delay or invalidate your application.

The completed application form should be returned, along with the correct payment, to Dealing and Administration, T. Bailey Fund Managers Limited, 64 St. James's Street, Nottingham NG1 6FJ. T. Bailey Fund Managers Limited is authorised and regulated by the Financial Services Authority.

1: Agent Details

To be completed by your agent if appropriate.

Address

Postcode

Consultant's Name

FSA Reference No.

Telephone Number

Email Address

Intermediary Number (T. Bailey Reference)

Total Initial Charge	Agent Initial Commission	Agent Trail Commission	Advice Given? (tick one)	
<input type="text"/> %	<input type="text"/> %	0.75 %	Y	N

In the absence of any special instructions standard terms will be applied (see Simplified Prospectus). If none of the advice given boxes are ticked, it will be assumed that advice has been given. Please note, for Institutional Class Units, trail commission will not be paid.

2: Personal Details

Please complete the personal details in full. Note that Stocks and Shares ISA investments are only available to individuals over 18 years of age.

Please tick if an existing unitholder Account Number

Title First Name(s)

Surname

Permanent Residential Address

Postcode

Password of your choice (for security reasons) (6-10 characters)

Email Address

In order to improve efficiency and reduce T. Bailey's impact on the environment we aim to distribute the periodic Report & Accounts to clients via e-mail. Please tick the box if you would prefer **NOT** to receive this information electronically.

Daytime Telephone Number

National Insurance Number - - - - -

(NI No. can be found on P60, tax return, notice of coding or pension book)

Date of Birth (DD-MM-YYYY) - - - Please tick if you do not have a National Insurance Number

Data Protection Policy
Any information which you provide may be held by T. Bailey Fund Managers Limited and used for administration purposes and to inform you about other products and services offered by T. Bailey Fund Managers Limited and its group companies. You understand that by providing us with this information, you consent to us processing it for these purposes. If you do not wish to receive information from us or our group companies about other products and services, please tick here:

Money Laundering

Applications will be subject to the United Kingdom's verification of identity requirements which are contained in the Money Laundering Regulations 2007, the Serious Organised Crime and Police Act 2005, the Proceeds of Crime Act 2002, the Terrorism Act 2000, the Bank of England Sanction Notices and the FSA Handbook. To comply with these regulations, we may need to request additional evidence of identity from you. We may use a credit reference agency who will record that an enquiry has been made. We reserve the right to refrain from investing your money and to withhold any repayment of capital until adequate evidence of identity under these regulations has been provided.

3: Investment Details – Stocks and Shares ISA Investments

Cheques should be made payable to T. Bailey Fund Managers Limited

Please ensure that for lump sum investments you include a correctly completed cheque with your application form as failure to do so may delay or invalidate your investment.

Please also note that cheques:

- should either be drawn on a personal bank account held in the name of the applicant, or from a building society with the applicant's name clearly displayed on the face of the cheque;
- should have your full name and address clearly written on the reverse of the cheque; and,
- should not be post-dated.

Fund choice	Lump sum min £1,000 max £10,680	Monthly min £50 (fill in DD form attached)	Income* Tick if you require income to be paid out to you and complete section 5
T. BAILEY GROWTH FUND	£	£	N/A
T. BAILEY DEFENSIVE CAUTIOUS MANAGED FUND	£	£	
T. BAILEY DYNAMIC CAUTIOUS MANAGED FUND	£	£	
FUND CHOICE TOTAL	£	£	

Please note that for applications received prior to 6th April 2011, cheques will be paid into the client money account and will deal into the T. Bailey Fund(s) in the new tax year (between 6th-15th April 2011). No interest is paid on the client money account.

Monthly savings investors must also complete a Direct Debit Mandate Form and enclose a cheque for the first payment. Direct Debits are taken on and around the 15th of the month.

*If the 'Income' box is not ticked then investment will be made into Accumulation Units rather than Income Units (See Simplified Prospectus).

Your total investment must not exceed £10,680 for a stocks and shares ISA (this limit is reduced £1 for £1 down to £5,340 for any investment into a cash ISA in the same tax year, e.g. If you invested £5,000 into a cash ISA your investment in a stocks & shares ISA could not exceed £5,680).



T.BAILEY

ISA Transfer Instruction Form (Please print this page again if you need to instruct us of more than one ISA Transfer).

Please note that if you want to transfer ISAs from more than one manager, you must complete a separate instruction for each one. Additional ISA Transfer Instruction Forms can be downloaded at www.tbailey.co.uk

Information for current ISA Managers

Cheques should be made payable to T. Bailey Fund Managers Limited

Please send a cheque along with a full history of the ISA being transferred plus the date of the transfer to Dealing and Administration, T Bailey Fund Managers Limited, 64 St. James's Street, Nottingham NG1 6FJ. Please note that T. Bailey Fund Managers Limited's HMRC reference is Z1520 (ISA). Any income or tax credits accruing in excess of £25 after you have sent these proceeds should also be sent to the same address.

I hereby instruct my current ISA manager named in this part, to liquidate these assets within my ISA as detailed here, with immediate effect, and to transfer the proceeds to T. Bailey Fund Managers Limited, 64 St. James's Street, Nottingham NG1 6FJ

To (Name of current ISA Manager)

Address

Postcode

Name of Investor, including title

Permanent Residential Address

Postcode

Account Number/Reference

Signature

X

Date of Birth (DD-MM-YY)

ISA Investments to be transferred in full

I wish to transfer my:

Current tax year ISA

Stocks and shares ISA

Approx Value

Cash ISA

Previous years

Stocks and shares ISA

Cash ISA

ISA Investments to be transferred in part (Previous tax years only)

Please check with your existing ISA Manager that they can accommodate partial transfers.

Please transfer specific tax years as detailed below

Enter tax year e.g. 2010/2011 and tick relevant box(es).

Approx Value

Tax Year	Stocks and shares ISA	Cash ISA	Approx Value
/	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
/	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
/	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>

Total Approx Value

Instruction to your Bank/Building Society to pay by Direct Debit

To be completed by monthly investors ONLY. Please complete and send to T. Bailey with your application.



To the Manager. Name of bank or building society

Address

Postcode

Name(s) of Account Holder(s)

Account No.

Sort Code

Reference No. (office use only)

Originator's Identification No.

6 8 3 0 8 7

Instruction to your Bank/Building Society and signature(s)

Please pay T. Bailey Fund Managers Limited, Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee, attached to the Simplified Prospectus. I understand that this instruction may remain with T. Bailey Fund Managers Limited, and if so, details will be passed electronically to my Bank/Building Society.

Signature(s)

Date (DD-MM-YY)

If you require any assistance with your application please:
call us on 0115 988 8213
email us at clientservices@tbailey.co.uk

Post your completed application form to:
Dealing and Administration
T. Bailey Fund Managers Limited
64 St. James's Street
Nottingham NG1 6FJ

CHEQUES SHOULD BE MADE PAYABLE TO T. BAILEY FUND MANAGERS LIMITED



T.BAILEY

T. BAILEY FUND MANAGERS LIMITED

64 ST. JAMES'S STREET, NOTTINGHAM, NG1 6FJ, UNITED KINGDOM. T: 0115 988 8200. F: 0115 988 8222. W: WWW.TBAILEY.CO.UK