



T.BAILEY

# Junior ISA (JISA) Investments and Transfers Application Form

FOR 2011/2012

Before completing your application form, you must read the Simplified Prospectus (together with the appendices) which contains important information about your investment. Additional copies can be obtained from T. Bailey Fund Managers Limited, 64 St. James's Street, Nottingham NG1 6FJ, at www.tbailey.co.uk or by calling the T. Bailey Funds helpline on 0115 988 8213.

Please complete the application form in blue or black ink and in BLOCK CAPITAL letters.

Failure to correctly complete relevant sections of the application form may delay or invalidate your application.

The completed application form should be returned, along with the correct payment, to Dealing and Administration, T. Bailey Fund Managers Limited, 64 St. James's Street, Nottingham NG1 6FJ. T. Bailey Fund Managers Limited is authorised and regulated by the Financial Services Authority and is a HMRC approved Stocks and Shares ISA Manager.

## 1: Agent Details

To be completed by your agent if appropriate.

Address

Postcode

Telephone Number

Email Address

Intermediary Number (T. Bailey Reference)

Total Initial Charge	Agent Initial Commission	Agent Trail Commission	Advice Given? (tick one)	
%	%	%	Y	N

In the absence of any special instructions standard terms will be applied (see Simplified Prospectus). If none of the advice given boxes are ticked, it will be assumed that advice has been given. Please note, for Institutional Class Shares, trail commission will not be paid.

## 2: Personal Details for Applicant

Please complete the personal details in full. Note that Stocks and Shares Junior ISA investments can only be applied for by individuals over 16 years of age. Where the applicant is a child over the age of 16 (but under the age of 18) please complete sections 2 and 3. If the child is under 16 only a person with parental responsibilities can be the applicant and registered contact. The applicant should put their details in section 2 and the child's in section 3.

Please tick if an existing shareholder  Account Number

Title  First Name(s)

Surname

Permanent Residential Address

Postcode

Password of your choice (for security reasons) (6-10 characters)

Email Address

In order to improve efficiency and reduce T. Bailey's impact on the environment we aim to distribute the periodic Report & Accounts to clients via e-mail. Please tick the box if you would prefer **NOT** to receive this information electronically.

Daytime Telephone Number

Please note the applicant will be the person who will be the first registered contact on the account. The registered contact address will be used for correspondence purposes.

**Data Protection Policy**  
Any information which you provide may be held by T. Bailey Fund Managers Limited and used for administration purposes and to inform you about other products and services offered by T. Bailey Fund Managers Limited and its group companies. You understand that by providing us with this information, you consent to us processing it for these purposes. If you do not wish to receive information from us or our group companies about other products and services, please tick here:

## 3: Personal Details for Child (used for reporting purposes)

Please complete the personal details in full. Note that Stocks and Shares Junior ISA investments are only available for children under 18 years of age.

Please tick if an existing shareholder  Account Number

Title  First Name(s)

Surname

Permanent Residential Address

Postcode

National Insurance Number  -  -  -  -

(If have one)

Please tick if the child does not have a National Insurance Number

Date of Birth (DD-MM-YYYY)  -  -  -

Please note that the child will be the beneficial owner of the investments held in the JISA. The amount subscribed is a gift to the child and as such cannot be paid to the subscriber if at a later date the subscriber changes their mind.

### Money Laundering

Applications will be subject to the United Kingdom's verification of identity requirements which are contained in the Money Laundering Regulations 2007, the Serious Organised Crime and Police Act 2005, the Proceeds of Crime Act 2002, the Terrorism Act 2000, the Bank of England Sanction Notices and the FSA Handbook. To comply with these regulations, we may need to request additional evidence of identity from you. We may use a credit reference agency who will record that an enquiry has been made. We reserve the right to refrain from investing your money and to withhold any repayment of capital until adequate evidence of identity under these regulations has been provided.

## 4: Investment Details – Stocks and Shares JISA Investments

Cheques should be made payable to T. Bailey Fund Managers Limited

Please ensure that for lump sum investments you include a correctly completed cheque with the application form as failure to do so may delay or invalidate the investment.

Please also note that cheques:

- should either be drawn on a personal bank account held in the name of the applicant, or from a building society with the applicant's name clearly displayed on the face of the cheque;
- should have your full name and address clearly written on the reverse of the cheque; and,
- should not be post-dated.

Fund choice	Lump Sum min £1,000 max £3,600	Monthly min £50 (fill in the DD form attached)
T. BAILEY GROWTH FUND	£	£
T. BAILEY GROWTH FUND LITE	£	£
T. BAILEY DEFENSIVE CAUTIOUS MANAGED FUND	£	£
T. BAILEY DYNAMIC CAUTIOUS MANAGED FUND	£	£
<b>FUND CHOICE TOTAL</b>	£	£

Monthly savings investors must also complete a Direct Debit Mandate Form and enclose a cheque for the first payment. Direct Debits are taken on and around the 15th of the month.

Investment will be made into Accumulation Units rather than Income Units (See Simplified Prospectus).

**The total investment must not exceed £3,600 per annum, per child for a stocks and shares JISA (this limit is reduced £1 for £1 for any investment into a cash JISA in the same tax year, e.g. If £2,000 was invested into a cash Junior ISA the investment in a stocks & shares JISA could not exceed £1,600).**

## 5: Investment Details – JISA Transfers (Please also complete JISA Transfer Instruction Form opposite)

Fund choice	Percentage ISA Transfer Enter % of ISA proceeds to be allocated to each fund
T. BAILEY GROWTH FUND	%
T. BAILEY GROWTH FUND LITE	%
T. BAILEY DEFENSIVE CAUTIOUS MANAGED FUND	%
T. BAILEY DYNAMIC CAUTIOUS MANAGED FUND	%
<b>FUND CHOICE TOTAL</b>	100 %

Investment will be made into Accumulation Units rather than Income Units (See Simplified Prospectus).

## 6: Declaration (to be completed by applicant listed in section 2)

Please read this section carefully before signing and dating the Declaration, and ensure that the total amount you wish to subscribe is within the ISA regulations as detailed below and in our ISA Terms and Conditions and supplementary JISA Terms and Conditions. This is our standard declaration upon which we intend to rely. For your own benefit and protection you should read these terms along with the T. Bailey Funds Simplified Prospectus, ISA Terms and Conditions and JISA supplementary Terms and Conditions carefully before signing. If you do not understand any point please ask your adviser or T. Bailey for further information. Failure to sign will mean your application form cannot be processed.

I declare that:

- I am 16 years of age or over.
- I am the child/I have parental responsibility for the child listed in section 3.  
(Delete which does not apply)
- The above named child does not have a Child Trust Fund account.
- I apply to subscribe for a stocks and shares Junior ISA for the child listed in section 3 for the 2011/2012 tax year and each subsequent year until further notice.
- The above named child is resident and ordinarily resident in the United Kingdom for tax purposes or is a UK Crown Servant, is a dependent of a UK Crown Servant, or is married to/in a civil partnership with a UK Crown Servant. I will inform T. Bailey Fund Managers Limited if the child ceases to be so resident and ordinarily resident or to perform such duties or be married to or in a civil partnership with a person who performs such duties.
- I have not subscribed and will not subscribe to another JISA of this type for this child.
- I am not aware that this child has another JISA of this type.
- I am not aware of other JISA subscriptions that will result in this child exceeding the annual limit.
- I will not knowingly make subscriptions to JISAs for this child that will result in the subscription limit being exceeded.

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If you require any assistance with your application please:  
call us on 0115 988 8213  
email us at [clientservices@tbailey.co.uk](mailto:clientservices@tbailey.co.uk)

Post your completed application form to:  
Dealing and Administration  
T. Bailey Fund Managers Limited  
64 St. James's Street  
Nottingham NG1 6FJ

**CHEQUES SHOULD BE MADE PAYABLE TO T. BAILEY FUND MANAGERS LIMITED**



T.BAILEY

**T. BAILEY FUND MANAGERS LIMITED**

64 ST. JAMES'S STREET, NOTTINGHAM, NG1 6FJ, UNITED KINGDOM. T: 0115 988 8200. F: 0115 988 8222. W: [WWW.TBAILEY.CO.UK](http://WWW.TBAILEY.CO.UK)